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CERTIFICATION AND ACCREDITATION INDUSTRY STANDARD OF THE PEOPLE'S REPUBLIC OF CHINA

RB/T 051-2020

Integrated Management System Evaluation Guide

整合管理体系评价指南

(English Translation)

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Foreword

This standard was drafted in accordance with the rules given in GB/T 1.1-2009:

This standard was proposed and managed by the National Certification and Accreditation Administration Committee:

Drafting organizations of this standard: China Quality Certification Center, China Inspection Group Jiangsu Company, China Inspection Group Anhui Company, China Inspection Group Ningbo Company, China National Accreditation Center for Conformity Assessment, Jianghuai Automobile Co:, Ltd:, Beijing Samsung Nine Thousand Certification Center, China Quality Assurance Center:

Drafters of this standard: Wang Yu, Dai Yaobin, Chai Yuebo, Ma Aiqun, Sun Yaling, Cao Xuemei, Bai Rui, Zhang Xudong, Liu Lixin, Zhang Jing, Ma Zhigang, Zou Lungui:

Introduction

0:1 background

With the increasing demand for global economicization, trade globalization, and management standardization in specific fields, after the quality management system, the environment Management system, occupational health and safety management system, energy management system, food safety management system, information security management system and other different management fields Domain management system standards have been introduced one after another: According to incomplete statistics, ISO (International Organization for Standardization) has issued more management system requirements standards:

There are more than 40 items: In order to improve and prove their own management level, more and more organizations choose to apply multiple management system standards within the organization:

Especially the three management system standards: quality management system, environmental management system and occupational health and safety management system:

With the increase in application management system standards, management costs have also increased, forcing more and more organizations to choose the original multiple management System integration, or directly establish an integrated management system (IMS) that meets the requirements of multiple management system standards to improve management efficiency Rate and reduce operating costs: In order to enable a good integration of various management systems, ISO issued a management system standard in:2012 the high-level structure (HLS) of (MSS) creates a good opportunity for system integration:

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In order to make the operation of the organization's integrated management system more effective, such as avoiding simple superposition, closely integrating with the organization's business process, and eliminating the imbalance in the implementation effect of the requirements of various management system standards, etc:, the evaluation of the integrated management system and the identification of improvement opportunities have become very important:

0.2 The role of IMS evaluation IMS evaluation is a comprehensive and systematic review of the organization's IMS management system activities and results with reference to selected standards:

IMS evaluation is different from IMS audit:

---IMS audit is based on the relevant requirements of each integrated management system (such as ISO 9001, ISO 14001) to determine the organization's IMS Whether it meets the requirements of various management system standards, the audit findings are used to determine the conformity of the organization's IMS with each management system standard and Effectiveness: The IMS audit carried out by a third party is usually called a combined audit and is used for certification purposes:

---IMS evaluation can provide a comprehensive review of the overall performance of the organization and its IMS maturity level, which can help the organization identify the need to change Areas for advancement and (or) innovation, and determine the priority of follow-up measures: Evaluation is not a simple compliance review but a mature system Degree of evaluation:

IMS evaluation can be used as an evaluation and improvement tool other than management system audit: The output of the evaluation can show the strengths and weaknesses of the organization:

The level of potential and maturity, and the items that need improvement (risks and opportunities related to improvement): If carried out regularly, the organization the progress and continuous improvement of IMS over a period of time: IMS evaluation results can be used as an important input for the organization of IMS management review:

0.3 Purpose of this standard this standard aims to establish a model for the evaluation of the organization's integrated management system to provide guidance for the development of the evaluation of the integrated management system:

Guide, and make the evaluation results have relative consistency: Through evaluation, the organization can understand the degree of maturity of the integrated management system and discover Improve opportunities, thereby continuously optimizing processes and resources, reducing duplication, improving the integrated management system and enhancing operational performance:

The evaluation elements in this standard refer to those given in Appendix SL of "ISO/IEC

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Guide Part 1" ISO Supplement the content of the high-level structure (HLS) of the ISO management system standard (MSS):

Integrated Management System Evaluation Guide

1 Scope

This standard provides the principles, elements, criteria and implementation guidelines for the evaluation of the implementation of the integrated management system:

This standard is applicable to guide external agencies (including third-party agencies) to various types of organizations (regardless of their nature, scale, and product offerings):

Service) The evaluation of the maturity of the integrated management system and the evaluation of the integration of two or more management systems:

This standard can also be used to self-diagnose and evaluate the integration of multiple management systems:

2 Normative references

The following documents are indispensable for the application of this document: For dated reference documents, only the dated version applies to this article Pieces: For undated references, the latest version (including all amendments) applies to this document:

GB/T 19000 Quality Management System Foundation and Terminology GB/T 24001 Environmental Management System Requirements and Guidelines for Use

3 Terms and definitions

The following terms and definitions defined in GB/T 19000 and GB/T 24001 apply to this document:

Integrated management system integrated management system; IMS According to a certain degree of integration (3:2), a single management system that manages multiple aspects of organizational performance can meet the needs of two or more management systems:

Management system standards:

Note 1: The scope of the integrated management system can be a combination of multiple different management systems (based on different management system standards) to a single shared system an integrated management system of system documents, management system elements and responsibilities:

Note 2: This definition is quoted from IAFMD11:2013:

Levelofintegration

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