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**INDUSTRY STANDARD OF THE
PEOPLE'S REPUBLIC OF CHINA**

JR/T 0177.1-2020

**Contents and formats of data on investors rights and interests
in the securities and futures industry - Part 1: Securities
(English Translation)**

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References

Foreword

JR/T 0177-2020 "Content and Format of Data Related to Investors' Rights and Interests in the Securities and Futures Industry" is divided into three parts.

--Part 1: Securities;

--Part 2: Futures;

--Part 3: Funds.

This part is part 1 of JR/T 0177-2020.

This part is drafted in accordance with the rules given in GB/T 1.1-2009.

This part was proposed by the Securities Sub-Technical Committee of the National Financial Standardization Technical Committee (SAC/TC180/SC4).

This part is under the jurisdiction of the National Financial Standardization Technical Committee (SAC/TC180).

Drafting organizations of this section. China Securities Regulatory Commission Information Center, China Securities Information Technology Service Co., Ltd., Hang Seng Electronics Company limited by shares.

1 Scope

This part specifies the data category and type, data content and format of the securities industry investor's rights and interests data, and the data content and format Including investor account data, brokerage business data, credit business data and derivatives business data, etc.

This section is applicable to industry institutions to carry out related work on the governance of investor equity data in the securities industry.

2 Normative references

The following documents are indispensable for the application of this document. For dated reference documents, only the dated version applies to this article Pieces. For undated references, the latest version (including all amendments) applies to this document.

GB/T 2659-2000 Codes for names of countries and regions in the world GB/T 4658-2006 academic code GB/T 12406-2008 Code for currency and funds JR/T 0046-2009 The message body structure and design rules of the data exchange between the securities and

futures industry and the bank

3 Terms and definitions

The following terms and definitions apply to this document.

Securities investor

Investors who participate in the stock market and other on-market securities markets.

Note: There are mainly institutional investors and individual investors.

Investors' rights and interests the rights of investors from investing in securities and the benefits of exercising their rights.

Note: The remaining rights and interests enjoyed by investors after deducting liabilities from investors' assets.

Fund account

A special account used by investors for the settlement of securities trading funds.

Note: Fund accounts can be divided into ordinary capital accounts, credit capital accounts, derivatives capital accounts, etc. according to their uses.

Stock account

A securities registration and settlement institution is a vehicle established by investors to record the holdings and changes of securities and securities derivatives.

Note: According to different purposes, securities accounts can be divided into A-share accounts, B-share accounts, A-share credit accounts, stock transfer system accounts, fund accounts, and derivatives contract accounts Wait.

4.1 Data category

This section covers all on-site business, and does not cover off-site business for the time being. It mainly includes four types of business data. investor account data, brokerage for business data, credit business data, and derivative business data, see Table 1 for the content of data categories. The data items involved in each data category are shown in Appendix A.

The code value of the data item is shown in Appendix B.

4.2 Data Type

Data type description.

--C, character type. For example, the account code of OneCode, C50;

--N, numeric value. Its length does not include a decimal point and can participate in

numerical calculations. The field length is x, which means that the longest field is x;

The length of the segment is (x, y), which means that the maximum length of the field is x and y decimal places are reserved, such as the balance of the fund account, N (17, 2).

5.1.1 Investor Information Data

The content and format of investor information data are shown in Table 2.

5.1.2 Investor Fund Account Data

See Table 3 for the content and format of investor fund account data.

5.1.3 Investor Securities Account Data

The content and format of investor securities account data are shown in Table 4.

5.1.4 Investor Depository Account Data

The data content and format of investor depository accounts are shown in Table 5.

5.2.1 Investor fund balance data

The content and format of investor fund balance data are shown in Table 6.

5.2.2 Investor securities balance data

The content and format of investor securities balance data are shown in Table 7.

5.2.3 Investor data on the balance of restricted shares

The data content and format of investor restricted stock balance are shown in Table 8.

5.3.1 Investor stock pledge contract data

The data content and format of the investor stock pledge contract are shown in Table 9. The data content is the stock pledge under the investor credit business in the securities market. The combined data has not been combined and the same date has been combined.

5.3.2 Investors agree to buy back contract data

The data content and format of the investor's agreed repurchase contract are shown in Table 10. The data content is the agreed purchase agreement under the investor's credit business in the securities market.

Return the combined data and the combined data on the same day.