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NATIONAL STANDARD OF THE PEOPLE'S REPUBLIC OF CHINA

GB/T 42497-2023

Guidelines for risk assessment of import commodity quality in cross-border E-commerce

跨境电子商务进口商品质量风险评估指南

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Foreword

This document was issued on 17 March 2023 by the State Administration for Market Regulation; Standardization Administration of the PRC and takes effect on 17 March 2023.

It is a GB/T standard: recommended rather than compulsory, but it is the text a Chinese reviewer applies when assessing a submission.

It is classified under ICS 03.080.99, Chinese classification A10.

This document is in accordance with the provisions of GB/T 1.1-2020 "Guidelines for Standardization Work Part 1. Structure and Drafting Rules for Standardization Documents"

drafting.

Please note that some contents of this document may refer to patents. The issuing agency of this document assumes no responsibility for identifying patents.

This document is proposed and managed by the National Standardization Technical Committee on E-Commerce Quality Management (SAC/TC563).

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Guidelines for Quality Risk Assessment of Imported Commodities in Cross-Border E-Commerce

1 Scope

GB/T 42497-2023 covers the quality risk assessment of goods that reach buyers through cross-border e-commerce, where a parcel travels direct from a foreign seller and never passes through the conventional domestic distribution chain. The document is built on four principles named in clause 4 - scientificity, objectivity, integrity and operability - followed in clause 5 by the assessment process itself, divided into risk identification, risk analysis and risk assessment, and closing in clause 6 with the documentation the exercise has to leave behind. Informative appendices support the process, among them a worked example of the cross-border import business flow, a set of common assessment methods, examples of damage types, and a method for classifying hazard risk. Guidance of this kind exists because the alternative is ad hoc judgement. Without a stated method and a written record, two assessors looking at the same product category reach different conclusions, and neither can explain the decision afterwards to a platform, a supplier or a regulator asking why one category was screened and another was not. Useful to e-commerce platform compliance teams, importers and their consultants, inspection and certification bodies, and regulators building risk-based controls for imported consumer goods.

This document provides guidelines for the basic principles, assessment process and methods of cross-border e-commerce import commodity quality risk assessment.

This document is applicable to the quality risk assessment of cross-border e-commerce imported goods.

2 Normative references

The contents of the following documents constitute the essential provisions of this document through normative references in the text. Among them, dated references

For documents, only the version corresponding to the date is applicable to this document; for undated reference documents, the latest version (including all amendments) is applicable to

this document.

GB/T 22760 Consumer Product Safety Risk Assessment Guidelines

GB/T 23694 Risk Management Terminology

GB/T 35408 E-commerce Quality Management Terminology

GB/T 38652 E-commerce Business Terminology

3 Terms and Definitions

GB/T 22760, GB/T 23694, GB/T 35408, GB/T 38652 and the following terms and definitions apply to this document.

3.1

Cross-border e-commerce cross-borderE-commerce

Transaction entities belonging to different customs borders conclude transactions, conduct payment and settlement through the Internet, and deliver goods and complete transactions through cross-border logistics.

Easy business activities.

[Source. GB/T 38652-2020, 3.6, modified]

3.2

risk risk

A combination of the probability of occurrence of an injury and the severity of the injury.

Note. The probability of occurrence includes the occurrence of a hazardous situation, the occurrence of a harmful event, and the possibility of avoiding or limiting harm.

[Source. GB/T 22760-2020,2.6]

3.3

risk assessment risk assessment

Including the whole process of risk identification, risk analysis and risk evaluation.

[Source. GB/T 23694-2013, 4.4.1]

3.4

risk identification risk identification

The process of discovering, identifying and describing risks.

Note 1. Risk identification includes the identification of hazards (sources), hazardous events, their causes and potential consequences.

Note 2 to entry. Risk identification may involve historical data, theoretical analysis, expert opinion, and stakeholder needs.

[Source. GB/T 23694-2013, 4.5.1, modified]