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Securities and related financial instruments - Financial Instrument Short Name (FISN)

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Foreword

This document is in accordance with the provisions of GB/T 1:1-2020 "Guidelines for Standardization Work Part 1: Structure and Drafting Rules of Standardization Documents"

Drafting:

The translation method used in this document is equivalent to ISO 18774:2015 "Securities and Related Financial Instruments Financial Instrument Short Names (FISN)":

This document has made the following editorial changes:

---Add "Note" in Chapter 4;

---Add "Note" in 6:1;

---Added informative appendices NA and NB;

Please note that certain contents of this document may involve patents: The issuing agency of this document is not responsible for identifying patents:

This document was submitted by the People's Bank of China:

This document is under the jurisdiction of the National Financial Standardization Technical Committee (SAC/TC180):

Drafting organizations of this document: China Securities Regulatory Commission, China Securities Information Technology Service Co:, Ltd:, Shanghai Stock Exchange, Shenzhen

Shenzhen Stock Exchange, Shanghai Futures Exchange, Zhengzhou Commodity Exchange, Dalian Commodity Exchange, Shanghai Financial Futures Information Technology Co:, Ltd:,

China Securities Depository and Clearing Co:, Ltd:, National SME Share Transfer System Co:, Ltd:, China National Debt Depository and Clearing Co:, Ltd:

Served as the company and the Interbank Market Clearing House Co:, Ltd:

Introduction

The development of the financial instrument short name (FISN) code aims to provide a consistent and unified standardized method for the short description of financial instruments:

Its purpose is to coordinate the abbreviation of financial instruments at the national and individual entity level in the existing market:

With the development of cross-border trade, information exchange between market participants has become more and more important: To implement the securities industry on a global scale

For straight-through processing, it is necessary to use standardized data elements that complement each other: For example, ISO 6166 (ISIN) and ISO 10962 (CFI) are

Identify and classify the key information elements of financial instruments, and FISN helps to improve readability:

Market participants in the securities industry usually report securities transactions through a brief description of the financial instruments involved: Currently, market data

Suppliers, banks and stock exchanges use their own abbreviations to describe financial instruments in their databases: The length of these names is different, and the group

Their attributes and their order are also different: They are defined in the local language, resulting in limited local use:

In addition to the straight-through processing requirements, there are other developments that make the use of standardized short names an urgent issue, such as mutual

Networking technology makes it possible for the development of electronic issuance, electronic transaction and electronic settlement: The prices published on the website are usually accompanied by relevant securities

Short for: The screen limits the available space for displaying different data elements, especially short names: Therefore, standardize the length, structure and content of short names

Will facilitate communication and processing: In addition, investors are more likely to use instrument short names rather than various identification codes to identify their securities:

The envisaged solution includes the use of standard maximum lengths and standardized structure of fields: The structure is constructed by the issuer's abbreviation

Elements (starting from the left), followed by a set of characteristic values of financial instruments:

The development of these codes will encourage market participants to use other ISO standards, especially ISIN (ISO 6166) and securities information, to simplify

Optimize the communication process between market participants, and improve the efficiency, reliability, data consistency and transparency of financial service transactions and reference data:

Describing financial instruments in a structured and standardized way also helps with regulatory reporting:

Improvements in the identification of financial instruments will enable investors to better understand financial instruments, make them more confident in making investment decisions, and make the market more sophisticated:

Increase activity, thereby further improving market liquidity:

Securities and related financial instruments financial instrument short name (FISN)

1 Scope

This document specifies the rules for establishing an internationally effective system of short names for various financial instruments within a prescribed structure:

This document is applicable to various applications in global securities trading and management:

FISN was developed after considering human readability and interoperability with existing standards and systems:

2 Normative references

The contents of the following documents constitute indispensable clauses of this document through normative references in the text: Among them, dated quotations

Only the version corresponding to the date is applicable to this document; for undated reference documents, the latest version (including all amendments) is applicable to

This document:

ISO /IEC 8859-1 Information technology 8-bit single-byte coded graphic character set Part 1: Latin alphabet No: 1 (Information

technology-8-bitsingle-bytecodedgraphiccharactersets-Part 1:LatinalphabetNo:1)

3 Terms and definitions

The following terms and definitions apply to this document:

3:1

Issuer shortname

The abbreviation of the official name of the issuer, usually no more than 15 alphanumeric characters:

3:2

Abbreviationofsecuritiesterms

A short version of the terms used in the securities business process, specifically including bank reports, pricing, instructions, event notifications, etc:

3:3

Instrumentdescription

Define a collection of characteristics and attributes of financial instruments:

4 Conventions and principles

The maximum length of FISN is 35 alphanumeric characters in accordance with ISO /IEC 8859-1: In order to create a defined maximum

The length of FISN requires abbreviation of certain terms: This document provides relevant rules and guidelines to specify the data elements, sequence

Columns, separators, and punctuation: It includes securities terms and abbreviations of the