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**NATIONAL STANDARD OF THE
PEOPLE'S REPUBLIC OF CHINA**

GB/T 27021.1-2017

**Conformity assessment -- Requirements for bodies providing
audit and certification of management systems -- Part 1:
Requirements**

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2007 compared to the main technical changes except for editorial changes are as follows.

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Foreword

GB/T 27021 "Conformity Assessment Management System Certification and Accreditation Body" as a series of national standards, GB/T 27021 series of standards have been

The identified part includes.

--- Part 1. Requirements;

--- Part 2. Environmental management system audit and certification body capacity requirements;

--- Part 3. Quality management system audit and certification body capacity requirements;

--- Part 4. Capacity planning of large-scale sustainability management system auditing and certification bodies;

--- Part 5. Assets management system audit and certification body capacity requirements;

--- Part 6. Business continuity management system audit and certification body capacity requirements;

--- Part 7. Road traffic safety management system audit and certification body capacity requirements.

This section GB/T 27021 Part 1.

This section drafted in accordance with GB/T 1.1-2009 given rules.

This section instead of GB/T 27021-2007 "conformity assessment management system audit certification requirements," and GB/T 27021-

2007 compared to the main technical changes except for editorial changes are as follows.

- Modify the definition of the term "fairness" (see 3.2,.2007 edition 3.2);
- Modify the term "management system consulting" definition (see 3.3,.2007 edition 3.3);
- Added terms such as "certification audit" "customer" "auditor" "competence" and "management system certification audit time" (see 3.4 ~ 3.17);
- Added 4.8 "risk-based approach" (see 4.8);
- Modify 5.2 "Fair Management" (see 5.2,.2007 edition 5.2);
- Modify Chapter 6 "Structural Requirements" (see Chapter 6, Chapter 6 of the.2007 edition);
- Removed the "Committee for the Protection of Justice" (see.2007 version 6.2);
- Modify Chapter 7 "Resource Requirements" (see Chapter 7, Chapter 7 of the.2007 edition);
- Added other considerations (see 7.1.4);
- Modify Chapter 8 "Information Requirements" (see Chapter 8, Chapter 8 of the.2007 edition);
- Removed "certified customer directory" (see.2007 version 8.3);
- Modify Chapter 9, "Process Requirements" (see Chapter 9, Chapter 9 of the.2007 edition);
- Added normative Appendix A provides the knowledge and skills required to undertake various types of certification functions (see Appendix A);
- Increased information Appendix B provides a possible assessment of the ability of personnel (see Appendix B);
- Increased information Appendix C provides examples of capacity to identify and maintain the process (see Appendix C);
- Increased informative Appendix D provides examples of expected personal behavior (see Appendix D);
- Added informative Appendix E provides an example of the audit and certification process (see Appendix E).

This section uses the translation method identical with ISO /IEC 17021-1.2015 "conformity assessment management system audit certification body requirements

Part 1. Requirements "(in English).

The documents of our country that are consistent with the corresponding international documents that are normative references in this section are as follows.

--- GB/T 19000-2016 Quality Management System Fundamentals and Terminology (ISO 9000.2015, IDT)

--- GB/T 27000-2006 Conformity assessment vocabulary and common principles (ISO /IEC 17000.2004, IDT)

For ease of use, this section made the following editorial changes ISO /IEC 17021-1.2015.

--- Replace the "certified client" with "the organizations whose" in 4.1.2b)

managementsystemsarecertified);

--- Replaced with "certified customer" 5.2.3 Note 2 "Management System Certification Organization" (organizations whose management systems are certified).

This part of the National Certification and Accreditation Standardization Technical Committee (SAC/TC261) and focal point.

This part of the drafting unit. China national conformity assessment center, the national certification and accreditation supervision and management committee, China Certification and Accreditation Association,

China Quality Certification Center, the radius of Mark Certification Group Co., Ltd., Guangzhou ? Po Certification Center Services Limited, Beijing ? West Certification Limited

Responsible company, China Classification Society quality certification company, Shanghai Quality System Audit Center, China Certification Center Co., Ltd..

Introduction

Management system certification (such as the organization's environmental management system, quality management system or information security management system certification) is a guarantee

Method to ensure that an organization has implemented the same requirements as its guidelines and related management system standards to manage its activities, products and services

Services related systems.

This section specifies the requirements of the management system audit and certification bodies. It is engaged in quality, environment and other management system audit and recognition

The certification body made general requirements. This section refers to such agencies as certification bodies. The implementation of these requirements is to ensure that the certification body is able to do so

Management system certification in a way that is consistent, forceful, consistent and fair in order to promote international and national recognition of these institutions and accept their certification. This section

This provides the basis for promoting the recognition of management systems certification, which is in the interest of international trade.

Management System Certification is an independent certification of the organization's management system.

- a) meet the requirements;
- b) the principles and objectives that enable it to achieve its statement from start to finish;
- c) be effectively implemented.

As a result, conformity assessment activities such as management system certification provide value to the organization, the organization's customers, and the stakeholders.

Chapter 4 sets out the principles on which credible certification is based. These principles help users understand the essential nature of certification and are Chapter 5

~ Chapter 10 made the necessary bedding. These principles form the basis for the requirements of this section, but are not themselves requirements for review. No.

Chapter 10 provides two alternative ways for certification bodies to establish and maintain a management system that guarantees and verifies that they always meet the requirements of this part.

Certification activities constitute the entire certification process from the application review to the termination of certification. Appendix E shows a number of certification activities

Enough way to interact.

Certification activities include an audit of the organization's management system. The certification body usually certifies the organization's management in the form of a certification document or certificate

System meets specific management system standards or other regulatory requirements.

This section applies to all types of management system audit and certification. To achieve the expectations of stakeholders, some of them may be needed

Requirements, especially those on the auditor's ability to supplement additional guidelines.

In this section.

--- "should" indicate the request;

--- "Yi" means the suggestion;